

03/01/02  
JCS  
U.S. PTO

Please type a plus sign (+) inside this box →

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	GECAN 3196
First Inventor	Mark John DeBlock
Title	METHOD AND APPARATUS FOR SEPARATING ELECTRIC RUNOUT FROM MECHANICAL RUNOUT
Express Mail Label No.	EL 752187614 US

03/01/02  
JCS  
U.S. PTO

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2.  Application claims small entity status.  
See 37 CFR 1.27
3.  Specification [ Total Pages 15 ]  
*(Preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [ Total Sheets 4 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: \_\_\_\_\_ /

Prior application information:

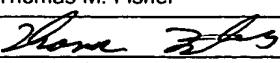
Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Custom No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below	
Name	John S. Beulick				
Address	Armstrong Teasdale LLP One Metropolitan Sq., Suite 2600				
City	St. Louis	State	Missouri	Zip Code	63102
Country	US	Telephone	(314) 621-5070		Fax (314) 621-5065

Name (Print/type)	Thomas M. Fisher	Registration No. (Attorney/Agent)	47,564	
Signature			Date	March 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/01/02  
JC903 U.S. PTO

3-A-02

A  
PATENT  
GECAN 3196

JC903 USPTO 03/01/02  
10/08/02 7871

CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: EL 752187614 US

Date of Mailing: March 1, 2002

I certify that the attached complete utility patent application of **MARK JOHN DeBLOCK and W. R. HUGH FIFE** for **METHOD AND APPARATUS FOR SEPARATING ELECTRICAL RUNOUT FROM MECHANICAL RUNOUT**, including:

- Utility Patent Application Transmittal (1 pg.)
- Fee Transmittal (1 pg.), in duplicate
- Nine (9) pages of Specification; Five (5) pages of Claims; One (1) page of Abstract
- Four (4) sheets of drawings
- Declaration and Power of Attorney (2 pgs.)
- Assignment Recordation Form Cover Sheet (1 pg.), in duplicate
- Assignment (2 pgs.)
- Information Disclosure Statement transmittal form (2 pgs.), in triplicate
- Form 1449 Information Disclosure Statement (1 pg.) with attachments AA-AS
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Thomas M. Fisher, Reg. No. 47,564  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102-2740  
(314) 621-5070

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

03/01/02  
U.S. PTO**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

03/01/02  
U.S. PTO**TOTAL AMOUNT OF PAYMENT** (\$ 998.00)**Complete If Known**

Application Number	
Filing Date	
First Named Inventor	Mark John DeBlock
Group Art Unit	
Examiner Name	

Attorney Docket Number GECAN 3196

<b>METHOD OF PAYMENT (check one)</b>				<b>FEES CALCULATION (continued)</b>																																																																																																																											
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 01-2384</p> <p>Deposit Account Name _____</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. <b>ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge-provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520 For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second Month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td></tr> <tr><td>118</td><td>1440</td><td>218</td><td>720 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1960</td><td>228</td><td>980 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1280</td><td>241</td><td>640 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1280</td><td>242</td><td>640 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after a final rejection (37-CFR 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge-provisional filing fee or cover sheet	139	130	139	130 Non-English specification	147	2520	147	2520 For filing a request for ex parte reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1840*	113	1840* Requesting publication of SIR after Examiner action	115	110	215	55 Extension for reply within first month	116	400	216	200 Extension for reply within second Month	117	920	217	460 Extension for reply within third month	118	1440	218	720 Extension for reply within fourth month	128	1960	228	980 Extension for reply within fifth month	119	320	219	160 Notice of Appeal	120	320	220	160 Filing a brief in support of an appeal	121	280	221	140 Request for oral hearing	138	1510	138	1510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidable	141	1280	241	640 Petition to revive - unintentional	142	1280	242	640 Utility issue fee (or reissue)	143	460	243	230 Design issue fee	144	620	244	310 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	180	126	180 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	740	246	370 Filing a submission after a final rejection (37-CFR 1.129(a))	149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))	179	740	279	370 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Other fee (specify) _____			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																												
127	50	227	25 Surcharge-provisional filing fee or cover sheet																																																																																																																												
139	130	139	130 Non-English specification																																																																																																																												
147	2520	147	2520 For filing a request for ex parte reexamination																																																																																																																												
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																												
113	1840*	113	1840* Requesting publication of SIR after Examiner action																																																																																																																												
115	110	215	55 Extension for reply within first month																																																																																																																												
116	400	216	200 Extension for reply within second Month																																																																																																																												
117	920	217	460 Extension for reply within third month																																																																																																																												
118	1440	218	720 Extension for reply within fourth month																																																																																																																												
128	1960	228	980 Extension for reply within fifth month																																																																																																																												
119	320	219	160 Notice of Appeal																																																																																																																												
120	320	220	160 Filing a brief in support of an appeal																																																																																																																												
121	280	221	140 Request for oral hearing																																																																																																																												
138	1510	138	1510 Petition to institute a public use proceeding																																																																																																																												
140	110	240	55 Petition to revive - unavoidable																																																																																																																												
141	1280	241	640 Petition to revive - unintentional																																																																																																																												
142	1280	242	640 Utility issue fee (or reissue)																																																																																																																												
143	460	243	230 Design issue fee																																																																																																																												
144	620	244	310 Plant issue fee																																																																																																																												
122	130	122	130 Petitions to the Commissioner																																																																																																																												
123	50	123	50 Petitions related to provisional applications																																																																																																																												
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																												
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																												
146	740	246	370 Filing a submission after a final rejection (37-CFR 1.129(a))																																																																																																																												
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																												
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																												
169	900	169	900 Request for expedited examination of a design application																																																																																																																												
Other fee (specify) _____																																																																																																																															
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>				<p>SUBTOTAL (1) 740.00</p>																																																																																																																											
<b>FEES CALCULATION</b>																																																																																																																															
<p>1. <b>BASIC FILING FEE</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370 Utility Filing Fee 740.00</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165 Design Filing Fee</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255 Plant Filing Fee</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370 Reissue filing Fee</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80 Provisional Filing Fee</td></tr> <tr><td colspan="4">SUBTOTAL (1) 740.00</td></tr> </tbody> </table>								Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	740	201	370 Utility Filing Fee 740.00	106	330	206	165 Design Filing Fee	107	510	207	255 Plant Filing Fee	108	740	208	370 Reissue filing Fee	114	160	214	80 Provisional Filing Fee	SUBTOTAL (1) 740.00																																																																																															
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																												
101	740	201	370 Utility Filing Fee 740.00																																																																																																																												
106	330	206	165 Design Filing Fee																																																																																																																												
107	510	207	255 Plant Filing Fee																																																																																																																												
108	740	208	370 Reissue filing Fee																																																																																																																												
114	160	214	80 Provisional Filing Fee																																																																																																																												
SUBTOTAL (1) 740.00																																																																																																																															
<p>2. <b>EXTRA CLAIM FEES</b></p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee From Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>25</td><td>-20</td><td>5</td><td>x 18 = 90.00</td></tr> <tr><td>5</td><td>-3*</td><td>2</td><td>x 84 = 168.00</td></tr> <tr><td colspan="4">Multiple Dependant x =</td></tr> </tbody> </table>								Total Claims	Extra Claims	Fee From Below	Fee Paid	25	-20	5	x 18 = 90.00	5	-3*	2	x 84 = 168.00	Multiple Dependant x =																																																																																																											
Total Claims	Extra Claims	Fee From Below	Fee Paid																																																																																																																												
25	-20	5	x 18 = 90.00																																																																																																																												
5	-3*	2	x 84 = 168.00																																																																																																																												
Multiple Dependant x =																																																																																																																															
<table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9 Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42 Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140 Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42 **Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9 **Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="3">SUBTOTAL (2)</td><td>258.00</td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	103	18	203	9 Claims in excess of 20	102	84	202	42 Independent claims in excess of 3	104	280	204	140 Multiple dependent claim, if not paid	109	84	209	42 **Reissue independent claims over original patent	110	18	210	9 **Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)			258.00	<p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) 0.00</p>																																																																																																
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description																																																																																																																													
103	18	203	9 Claims in excess of 20																																																																																																																												
102	84	202	42 Independent claims in excess of 3																																																																																																																												
104	280	204	140 Multiple dependent claim, if not paid																																																																																																																												
109	84	209	42 **Reissue independent claims over original patent																																																																																																																												
110	18	210	9 **Reissue claims in excess of 20 and over original patent																																																																																																																												
SUBTOTAL (2)			258.00																																																																																																																												

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Thomas M. Fisher	Registration No. (Attorney/Agent)	47,564	Telephone	(314) 621-5070
Signature				Date	March 1, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.